



| TENDERDOCUMENT                                            |             |                  |
|-----------------------------------------------------------|-------------|------------------|
| Major Overhaul Frame 9 Gas Turbine RoCa (The Netherlands) |             |                  |
| CODE                                                      | REVISION N° | DATE OF ISSUANCE |
| WS600217008                                               | 01          | 08-03-2021       |

| PREPARED BY | APPROVED BY   |
|-------------|---------------|
| C.L.Tan     | L.vanderVliet |

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## 1 General

### 1.1 Introduction

The Tender Document at hand contains information regarding this invitation to tender conducted in accordance with the European open procedure for the Outage in Rotterdam, the Netherlands.

### 1.2 About Uniper

Uniper is an international energy company with about 11,000 employees.

Headquartered in Düsseldorf, Germany, Uniper ranks among large generation and trading companies in Europe, which is one of the world's most important energy markets. Through the commodity-trading and technology-services businesses, Uniper is also active in energy markets from the Americas to Asia.

The core markets are Germany, the United Kingdom, Sweden, the Benelux countries and Russia. In Hungary Uniper owns one power plant. To support the activities outside of Europe and Russia, Uniper operates a trading business in North America and have offices in several international locations.

Uniper Benelux N.V. produces electricity, heat and steam in the Netherlands. In Belgium Uniper also supply these products to the business-to-business market. The power stations contribute to the security of supply in the Netherlands. In addition, Uniper provide support services that help balance the high-voltage grid. For more than 10 years Uniper has been working closely together with the surrounding industry in Rotterdam to exchange residual materials in order to reduce our carbon footprint.

Location within Uniper Benelux N.V are:

- The Hague
- Leiden
- Rotterdam Maasvlakte
- **Rotterdam Capelle (RoCa)**

The RoCa plant is the subject of this Outage.



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Commissioned : 1982 (GT 1&2), 1996 (GT 3)  
Electrical net output: 264 MW  
Fuel: Natural gas

Additional general information can be found with below link:  
<https://www.uniper.energy/benelux/>

### 1.3 *Digital tender via TenderNed*

This tender is published on TenderNed. More information about this procedure can be found in paragraph 3.

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## 2 **Subject of the assignment**

### 2.1 **Description of the assignment**

The RoCa3 plant, built in 1996, contains a GE Frame 9E gas turbine as its main component. In 2022 this GT needs to undergo a Major Overhaul incl. replacement of bigger associated components and several upgrade measures. As this plants provides primarily district heating services.

In essence the following services will form part of the assignment:

- Hot Gas Path+ (HGP+) inspection / revision gas turbine
- Compressor: 1st row compressor blades/vanes replacement
- Compressor: 17th row Exhaust Guide Vane refurbishment
- Diffusor + exhaust frame

### 2.2 **Purpose and goal**

The purpose of this European Tender is to receive a quotation and detailed specification for the assignment.

The recipients of this Tender are referred to as “Tenderer”. The goal is to find a supplier with proven knowledge, experience and capabilities of performing an outage on a GE Frame 9E Gas Turbine in Summer 2022. By responding to this Tender the tenderer warrant that they have the facilities, management, resources and technical ability to provide all the services and/or products and/or material under this Tender. The provided information, scope, schedule, quantities and documentations are subject to change at the request of UNIPER.

### 2.3 **Location**

Location of the assignment is:

Uniper Benelux N.V.  
 Site Name: RoCa 3  
 Capelseweg 400, 3068 AX Rotterdam

### 2.4 **Lots**

The invitation to tender has not been divided into lots, because the assignment must be executed as a whole.

### 2.5 **Scope**

The complete scope of work can be found in appendix 3:



Scope of work Frame 9 RoCa v1.0.docx



Scope of work Frame 9 RoCa v1.0.pdf



Scope of work Frame 9 RoCa v1.0.xls

|                                                |                               |                                                |                              |
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### 3 Application procedure

#### 3.1 **Contracting authority:**

Uniper Benelux N.V  
attn. C.L. Tan  
Capelseweg 400  
3068 AX Rotterdam  
chien.tan@uniper.energy  
+31 6 14 30 95 53

#### 3.2 **Confidentiality**

All documents and related correspondence within the scope of this Tender should be treated as confidential and shall not be disclosed to any third party. All intellectual property rights belong to UNIPER; each supplier may only use the information provided for the tendering procedure for the purpose for which it has been provided. The supplier shall sign the appropriate Non-Disclosure Agreement if requested.

#### 3.3 **Procurement procedure**

- European open procedure
- The Public Procurement Act 2012 (Aanbestedingswet 2012)
- Works Procurement Regulations (Aanbestedingsreglement Werken) (ARW 2016)

#### 3.4 **Electronic communication**

The Contracting Authority chooses to communicate with the Participant using electronic means of communication to minimize the administrative work load, which means that:

- The documents will be published on the Tendered website;
- Requests for information as described in paragraph 3.6 need to be submitted on TenderNed;
- Tender Clarification(s) will be published on TenderNed;
- Requests made by telephone or e-mail will not be answered;
- The Applications can be submitted on TenderNed during the selection phase.

#### 3.5 **Suppliers Expenses**

The Supplier shall solely bear all costs and expenses associated with the preparation and submission of the response to this tender and for subsequent negotiations with UNIPER.

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### 3.6 Time schedule

| Item                                                   | Date                       |
|--------------------------------------------------------|----------------------------|
| Publication of tender                                  | 10-03-2021                 |
| Deadline request for site visit                        | 16-03-2021                 |
| Site Visit                                             | 17-03-2021 or 18-03-2021   |
| Closure round 1 of questions / request for information | 22-03-2021                 |
| Publication of answers round 1                         | 29-03-2021                 |
| Closure round 2 of questions / request for information | 05-04-2021                 |
| Publication of answers round 2                         | 09-04-2021                 |
| <b>Deadline for submission</b>                         | <b>20-04-2021 10:00</b>    |
| Review of submissions                                  | 20-04-2021 till 28-04-2021 |
| Interviews with top 3 tenderers                        | 03-05-2021                 |
| Announcement of preliminary winning tenderer           | 14-05-2021                 |
| End of Alcatel period                                  | 03-06-2021                 |
| <b>Starting date of contract</b>                       | <b>04-06-2021</b>          |
| Outage RoCa Frame 9E - Start Major Inspection          | 16-05-2022                 |
| End Major Inspection                                   | 06-07-2022                 |
| End of commissioning                                   | 08-07-2022                 |

### 3.7 Site Visit

An optional Site Visit can be performed. Tenderers are requested to apply by writing a message via TenderNed stating name and function of all visitors. Besides the local safety regulation the RIVM Guidelines (comparable to RKI guidelines) are applicable. Deadline for request is 16-03-2021. Tenderer is requested to specify the preferred date of the site visit in the message.

Optional a virtual site visit can be arranged. for a virtual site visit can be send via TenderNed.

### 3.8 Inconsistencies and objections

If the Tenderer is of the opinion that the documents contain inconsistencies, errors or matters that are unclear or if the Tenderer has any objections, then the Tenderer must report this to the contact person in writing, including substantiation via TenderNed.

### 3.9 Complaints procedure

If a Tenderer disputes a response given by the Tendering Authority to a question, request, comment or objection from the Tenderer, or if the Tenderer receives no response, then he can submit a complaint via TenderNed.

### 3.10 Submission of the Tender

The deadline (date and time) for submission of Tenders is stipulated in the 'Time schedule' (3.6) and it is a final deadline.

- In order to submit a Tender, you must register with TenderNed. One or more registered users must be connected and authorised to submit the Tender via TenderNed on behalf of your company. The Tendering Authority advises that you start the TenderNed registration process immediately rather than postponing it until the tendering period is coming to a close. Upon registering your organisation, you must add your tender via TenderNed's announcements platform.
- For more information on registering and establishing your organisation with TenderNed and digital submission of your Tender, visit <https://www.tenderned.nl/cms/english/six-steps->

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- bidding-public-procurement-contracts-online-through-tendered.
- Only Tenders that have been submitted to the digital safe for this invitation to tender either prior to or on the day of the deadline (prior to the time of the deadline) will be processed by the Tendering Authority.
  - The time and date as displayed on the digital countdown clock in TenderNed serves as the definitive deadline for the submission of Tenders.
  - The Tendering Authority is only able to see the Tenders once the digital safe opens in TenderNed. This safe can only be opened upon expiry of the deadline for the submission of Tenders.
  - In the event you have technical issues or questions regarding submission of your Tender via TenderNed, you can contact the TenderNed service desk via servicedesk@tenderned.nl or +31 (0)70-3798899. If you believe that the TenderNed service desk is taking too long to answer your question or comment, then you can contact your contact person within the Tendering Authority.
  - Any risks resulting from late submission of the Tender and/or submission of an incomplete Tender is borne by the Tenderer.
  - The Tendering Authority is neither responsible nor liable for any consequences resulting from a Tender that is submitted too late, incorrectly or incompletely.

The Tendering Authority will treat confidential information provided by the Tenderer with due care.

### 3.11 Legal signature

A legal signature signifies that the document in question has been signed by a legally authorized representative.

If it is recorded in the professional or trade register that two or more people are only jointly authorized to represent the organization, then the documents requiring a legal signature must be signed by those two or more people. If any limitations are in place regarding authorization to represent the organization, then this must be taken into account.

### 3.12 Communication and language

During the tendering process, communication with the Tendering Authority must be conducted in English.

The Tender must be submitted in English. Additional documents (such as informational materials etc.) can also be provided in Dutch OR English.

During the fulfilment of the contract, communication must be conducted in English or, if the winner is a Dutch organization, in Dutch.

### 3.13 Announcement of the award of the contract

All Tenderers will receive a message simultaneously that announces the award of the Contract and substantiates its decision. All Tenderers are entitled to request further information regarding this decision from the Tendering Authority.

#### Standstill period

All Tenderers and stakeholders who dispute the award of the Contract and/or the verbal/written substantiation thereof can apply for a preliminary injunction at the competent civil court of UNIPERs choice. This must be done no later than 20 calendar days subsequent to the sending of the digital notifications concerning the award of the Contract. Upon expiry of this period, no more

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applications for a preliminary injunction can be submitted. In the event a Tenderer applies for a preliminary injunction, we kindly request that you send a copy of the summons to the Tendering Authority.

On the grounds of Section 2.129 of the Public Procurement Act the award of the Contract does not yet mean the Tenderer's Tender has been accepted. For the 20 calendar days subsequent to the sending of the digital notification of the award of the Contract, the Tendering Authority is not permitted to definitively award the assignment by concluding the Contract.

If a preliminary injunction is applied for during these 20 calendar days, then a waiting period will be required pending a judgement in the preliminary injunction proceedings. The judgement will serve as the basis for further decision making by the Tendering Authority.

If preliminary injunction proceedings are brought against the award of the Contract, then the Tendering Authority will notify the Tenderer of this fact. The Tenderer must ensure that his Tender remains valid for at least four weeks subsequent to the judgement in the preliminary injunction proceedings.

#### Interest in relation to the judgement

Tenderers who have an interest in the judgement in these preliminary injunction proceedings can only engage in these proceedings by means of intervention or joinder. The Tenderer cannot initiate separate proceedings or other judicial proceedings.

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#### 4 Selection

In this section, you can find the requirements set by the Tendering Authority to determine whether particular Tenderers are suitable to be awarded the Contract. For this purpose, Exclusion Grounds, Suitability Requirements and requirements regarding technical and/or professional ability have been set.

##### 4.1 **Exclusion grounds**

You can find the 'European Single Procurement Document' within the invitation to tender in TenderNed. In this document, you will find the following Exclusion Grounds:

- all Exclusion Grounds specified in Part III A and B;
- the Exclusion Grounds in Part III C that have been selected by the Tendering Authority by means of the tick boxes.

Go to the invitation to tender in TenderNed, select 'Answers to Requirements' and then tick 'Yes' or 'No' for the 'Tenderer's Statement' requirement.

##### 4.2 **Suitability requirements**

The purpose of the Suitability Requirements is to assess whether the Tenderer is suitable to fulfil the Contract in the opinion of the Tendering Authority.

By signing the 'European Single Procurement Document' (which uses the term 'Selection Criteria' to refer to the Suitability Requirements), the Tenderer declares that he complies with the Suitability Requirements as specified in this subsection of the Tender document.

Tenderer is obligated to fill in sub-contractors. Especially for materials.

##### 4.3 **Requirements regarding financial and economic standing**

By signing the 'European Single Procurement Document', the Tenderer declares:

- That he possesses sufficient financial and economic capacity to fulfil the contractual obligations.
- That the Tenderer is unaware of any possible claims against him that may compromise his organisation's financial-economic capacity or continuity, and that no investment is required during the Contract period that may have a similar compromising effect.
- That the most recently issued auditor's report (or, if applicable, a review report or compilation report) does not include a 'continuity section' that expresses doubt concerning the viability of the organisation.
- That the Tenderer has a sufficient level of professional and/or statutory liability insurance for the fulfilment of the assignment and that in the event of the Contract being awarded to him, will remain sufficiently insured throughout the duration of the assignment(s).

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#### 4.4 Requirements regarding technical and/or professional ability

The Tendering Authority has set the following core competences, which demonstrate experience with essential aspects of the assignment. Tenderer declares that he has carried out at least one reference assignment for each of the core competences listed below that meets the following minimum requirements:

##### 1. Main contractor in overhaul of GE frame 6< engines over the last 5 year

The tenderer has been a main contractor in overhaul of GE frame 6 engines or higher over the last 5 years.

- Scope of work must include all, either OEM or non OEM components and consumables;
- Including engineering;
- Latest advanced technologies on manufacturing and supply of parts and components with the requirements of the OEM or better.

The tenderer shall provide a filled in appendix 5 form.

##### 2. Knowledgeable and experienced field service personnel with proven records on GE frame 6< engines.

The tenderer has knowledgeable and experienced field service personnel with proven records on GE Frame 6 engines or higher. The tenderer shall provide a filled in appendix 5 form signed by the client.

##### 3. Component reconditioning and overhaul capability of GE frame 9E engine components

The tenderer has experience in component reconditioning and overhaul capability of GE frame 9E engine components.

The tenderer shall provide a filled in appendix 5 form.

##### 4. Quality, Environment and Safety

The tenderer shall comply with the quality standards described in ISO 9001:2000, the environmental standards described in ISO 14001:2004 and shall maintain an appropriate Health & Safety System (e.g. VCA\*, VCP-P, SCC\*, OHSAS 18001etc.) and shall provide a copy of the respective certification where

##### 5. Basic supplier requirements

The Code of Conduct defines the basic requirements placed on UNIPER' suppliers of goods and services concerning their responsibilities towards their stakeholders and the environment.

By signing the 'European Single Procurement Document' the tenderer accepts these requirements.

#### 4.5 Applicable Terms and Conditions

The applicability of any of the Tenderer's general terms and conditions concerning delivery, payment and/or any other matters is explicitly excluded. The UNIPER Terms and Conditions (Uniper\_Benelux\_Uniper-EN\_2018-01) apply to the Contract. Other general conditions can be found in Appendix 1.

The Tenderer shall indicate in writing any concerns regarding these conditions using appendix 2 with the tender. Tenderer shall upload a filled in appendix 2 on TenderNed with the tender. A suggested difference in Terms and Conditions can only lead to a lower price for UNIPER.

|                                                |                               |                                                 |                              |
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## 5 **Award Criteria**

The submissions of the tenderers will be evaluated by UNIPER according to the following award criteria.

| Subject                                     | Max points |
|---------------------------------------------|------------|
| <b>Price</b>                                |            |
| Price                                       | 50         |
| <b>Quality</b>                              |            |
| Plan of approach & Schedule of the overhaul | 40         |
| Interview                                   | 10         |
| <b>Total</b>                                | <b>100</b> |

The tenderer with the highest total points wins the tender.

### 5.1 **Price**

The Tenderer provides all relevant prices and rates in Appendix 6 – Price form. The tenderer with the lowest total price (excluding VAT) will receive the maximum number of points.

The other tenderers receive their points based on the following formula:

$$50 * ( \text{Lowest price submitted excluding VAT} / \text{price submitted by tenderer excluding VAT} )$$

Note: Submissions with manipulative pricing leads to exclusion of the tenderer.

### 5.2 **Quality – Plan of approach and schedule**

#### Plan of approach

Your Tender includes a Plan of Approach for the performance of the assignment. The Tenderer is expected to describe how he is able to fulfill the assignment. The plan of approach consists at least the following:

- Project management and communication
  - Preparation
  - Organization
  - Possible risks and associated mitigation measures that could be addressed.
- Lead times
  - Lead time Outage
  - Consumables
  - Materials / spareparts
  - Availability spareparts
- Safety
  - TRIF safety performance
  - Selection of certified subcontractors
  - % own personnel with proven experience
  - Chosen method of transport
  - No gasleaks when finished
  - Doorfan test
- Experience
  - Technical know how
  - Methods of transport

|                      |                 |                                |                    |
|----------------------|-----------------|--------------------------------|--------------------|
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| <br><b>Tenderdocument</b> | <b>Title</b><br><br><b>Gas Turbine Outage Rotterdam</b> | <b>CODE</b><br><br>WS600217008 |                               |
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|                                                                                                           |                                                         |                                |                               |

- Special tools
- Location knowledge
- Warranties
  - Emissions better than current
  - Tunnel temperature (<170 GRDC)
  - Power Pmin Pmax (better than current)
  - Efficiency (better than current)
  - Vibrations (better than current)
  - T48 (< according to GE)
  - 24.000 hours
- Quality assurance

The maximum amount of pages of the plan of approach is 6 A4 single sided, font Arial 10.  
Language in English

#### Schedule of the overhaul

Tenderer includes a time schedule for the major overhaul on max 1 (one) A2 single sided.

#### Appendices to the Quality plan

Tenderer is allowed to include 4 pages A3 single sided appendices. Appendices may only include drawings to explain and illustrate chosen methods for transport, floorplan and performance of the overhaul.

#### Rating of the quality plan

| Rating |                         | % of maximum Points |
|--------|-------------------------|---------------------|
| 4      | Excellent               | 100%                |
| 3      | Good                    | 75%                 |
| 2      | Average                 | 50%                 |
| 1      | Unsatisfactory          | 25%                 |
| 0      | Very poor, insufficient | 0%                  |

Plan of approach, schedule and appendices are rated as 1 qualityplan.

Tenderer is asked to write the Plan of Approach SMART (Specific, Measurable, Achievable, Relevant, Time-bound).

### 5.3 Quality - Interview

The three highest ranked tenderers (based on price and plan of approach) are invited for an individual interview with UNIPER for a further detailed explanation of the plan of approach.

The tenderer is allowed to come with two persons, for example an maintenance engineer and project leader. These persons get ranked based on technical and organizational know how of the project. In TenderNED the Tenderer shall upload a document with names of the persons for the interview.

#### Rating of the interview

| Rating |                         | % of maximum Points |
|--------|-------------------------|---------------------|
| 4      | Excellent               | 100%                |
| 3      | Good                    | 75%                 |
| 2      | Average                 | 50%                 |
| 1      | Unsatisfactory          | 25%                 |
| 0      | Very poor, insufficient | 0%                  |

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## 6 Appendices

### Appendix 1 – General conditions

 general\_conditions\_of\_purchase\_uniper\_benelux\_uniper-en\_2018-01.pdf

 general\_tc\_purchase\_planning\_supervision\_appraisal.pdf

 gtc\_purchase\_standard\_software\_uniper.pdf

 gtcs\_provision\_it\_services\_uniper.pdf

 supplier\_code\_of\_conduct.pdf

 uniper-tacs-en-09\_2020.pdf

 ust\_safety\_requirements\_for\_contractors\_-en.pdf

### Appendix 3 – Scope of work

 Scope of work Frame 9 RoCa v1.0.pdf

 Scope of work Frame 9 RoCa v1.0.xls

### Appendix 4 – Inspection Reports

 160830\_IR-GT3\_21-1148 Boroscoop inspectie.pdf

 170911\_IR\_BS insp GT3 21-1212 .pdf

 180928\_IR\_BS insp GT3 21-1260\_2018.pdf

 190927\_IR\_BS insp GT3 21-1299\_Sept\_2019.pdf

 200915\_IR\_21-1332\_BS\_Gasturbine\_GT3\_RoCa.pdf

 GEGASTURBINEINSPECTIONREPORT ROTTE.pdf

 Mechanical technical report.pdf

 TS CB report MI RoCa 2015.pdf

 Appendix 2 - Acceptance of general terms and conditions.xlsx

 Appendix 5 - Reference assignment form.docx

 Appendix 6 - Price form.docx

### Web Links

General Conditions of Purchase UNIPER-NL/2018-01

<https://www.uniper.energy/benelux/>

General Safety Conditions UNIPER-NL/2018-02.

<https://www.uniper.energy/benelux/>

Code of Conduct

<https://www.uniper.energy/company/procurement/procurement-informatio>

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|             | 08-03-2021 | L. van der Vliet – Maintenace | 01            |